## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones et al. : Group Art Unit: 3691

**Serial No.:** 10/680,010 : **Examiner:** Campen

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For: METHODS, SYSTEMS AND

SECURITIES FOR ASSURING A COMPANY AN OPPORTUNITY TO SELL STOCK AFTER A SPECIFIED

TIME

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(c)(2). In this regard, it is noted that this Information Disclosure Statement is being filed before the mailing of a final action, a notice of allowance or an action that otherwise closes prosecution of the application.

Of further note, no representation is intended that a complete search has been made by the applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted, GREENBERG TRAURIG, LLP

Dated: January 9, 2009 By: \_\_\_\_/Matthew B. Tropper/

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